UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF CALIFORNIA

JEFFREY CRAIG, on behalf of himself and all others similarly situated,

Plaintiff,

v.

AMERICAN TUNA, INC. and WORLD WISE FOODS, LTD.,

Defendants.

Case No.: 22-cv-473-RSH-MSB

ORDER DENYING PLAINTIFF'S MOTION FOR CLASS CERTIFICATION

[ECF No. 99]

Before the Court is Plaintiff's Motion for Class Certification. *See* ECF Nos. 99 (Motion), 109 (Opposition), 114 (Reply), 116 (Objection to Reply), 118 (Response to Objection). As discussed below, the Court denies the Motion because Plaintiff has failed to establish the named Plaintiff, Ray Glass, has Article III standing.

I. BACKGROUND

Ray Glass, the sole named plaintiff in this action, is a resident of New York and a consumer of American Tuna products. ECF Nos. 75 ¶ 16, 99-3. Defendant American Tuna,

Inc.¹ is a San Diego-based company that sells canned and pouched albacore tuna products. Defendant sells the majority of these products through Whole Foods Market, but they are also available for direct purchase through American Tuna's website. *See* ECF No. 109 at 8.

A. Procedural History

On November 4, 2021, former Plaintiff Jeffrey Craig, on behalf of himself and all others similarly situated, filed the initial Complaint in this action in the Southern District of New York. ECF No. 1. On January 31, 2022, Plaintiff filed an Amended Complaint. ECF No. 21. On April 8, 2022, this case was transferred from the Southern District of New York to this Court. ECF No. 40.

On April 19, 2023, Plaintiff filed a Second Amended Complaint—the operative complaint in this action—which substituted plaintiffs Ray Glass and Michael Sizemore for plaintiff Jeffrey Craig. ECF No. 75. The Court granted Sizemore's subsequent motion to withdraw as a plaintiff, leaving Ray Glass as the sole named plaintiff. ECF No. 94. Plaintiff now moves this Court to certify Claims Two and Four of the Second Amended Complaint on behalf of a class of New York consumers. ECF No. 127-1.²

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Defendant states that although Plaintiff's Second Amended Complaint names a second defendant, World Wise Foods, Ltd., that entity was never served and has never appeared in this litigation. *See* ECF No. 109 at 8; 109-6 ¶ 4. According to American Tuna's corporate disclosure, World Wise Foods is American Tuna's parent company, but is a separate corporate entity—a privately held United Kingdom company. ECF No. 17. Because there is no evidence World Wise Foods was ever served, the Court refers only to Defendant American Tuna.

Plaintiff originally filed a redacted version of his Memorandum in Support of Class Certification as ECF No. 99-1. Pursuant to this Court's Order, ECF No. 123, Plaintiff has refiled an unredacted copy as ECF No. 127-1. Accordingly, the Court cites ECF No. 127-1

B. Plaintiff's Allegations

Plaintiff's Second Amended Complaint alleges that although the labels on some of Defendant's products state that the tuna is "Caught and Canned in the USA," "Caught and Canned in America," "American Made," or "100% American Made," Defendant instead catches much of its tuna outside of U.S. Waters—defined as the U.S. Exclusive Economic Zone ("U.S. EEZ"). ECF No. 75 ¶ 8, 27. Plaintiff relatedly claims that Defendant's website deceives consumers by claiming "Local Sourcing" of tuna and representing that "We source only the most premium pole & line tuna from . . . the American pole & line fishery in the American Pacific Northwest." *Id.* ¶ 9, 31. The Complaint further alleges that Defendant's name—"American Tuna"—and its logo of a fish superimposed on an American flag creates the impression that all of its tuna is caught in the U.S. EEZ. *Id.* ¶¶ 10-12. Plaintiff alleges that because of this deception, consumers pay a premium for Defendant's products. *Id.* ¶¶ 12-13.

Plaintiff seeks to certify Claims Two and Four of the Second Amended Complaint, which allege that Defendant has violated New York General Business Law prohibiting "false advertising" and "[d]eceptive acts or practices in the conduct of any business, trade or commerce or in the furnishing of any service." N.Y. Gen. Bus. Law. §§ 349(a), 350.

Plaintiff proposes a class of all persons "who purchased American Tuna Products in New York State between November 2018 and the date class notice is disseminated." ECF

Federal law defines "Waters of the United States" as "those fresh and ocean waters contained within the outer limit of the Exclusive Economic Zone (EEZ) of the United States." 7 C.F.R. § 60.132. The U.S. EEZ extends 200 nautical miles from the coast. 60 Fed. Reg. 43825-01 (August 23, 1995).

Plaintiff identifies eight types of American Tuna Products: American Tuna Pole Caught Wild Albacore – No Salt (6 oz); American Tuna Pole Caught Wild Albacore – Sea Salt (6 oz); American Tuna Pole Caught Wild Albacore – Smoked w/Olive Oil (6 oz); American Tuna Pole Caught Wild Albacore – Jalapeño (6 oz); American Tuna Pole Caught Wild Albacore – Garlic (6 oz); American Tuna Pole Caught Wild Albacore – No Salt (3.5

No. 127-1 at 12. The class includes only consumers who purchased these products "primarily for personal, family, or household purposes, and not for resale." *Id*.

II. ARTICLE III STANDING

Article III of the United States Constitution limits federal courts to the resolution of cases and controversies. U.S. Const. Art. 3 § 2, cl. 1. The standing doctrine is one component of the case or controversy requirement. To demonstrate standing, a party must show that it has "(1) suffered an 'injury in fact' that is concrete, particularized, and actual or imminent, (2) the injury is 'fairly traceable' to the defendant's conduct, and (3) the injury can be 'redressed by a favorable decision." *Matter of E. Coast Foods, Inc.*, 80 F.4th 901, 906 (9th Cir. 2023) (quoting *Lujan v. Defs. of Wildlife*, 504 U.S. 555, 561 (1992)).

"A plaintiff is required to establish the elements necessary to prove standing 'with the manner and degree of evidence required at the successive stages of the litigation." Olean Wholesale Grocery Coop., Inc. v. Bumble Bee Foods LLC, 31 F.4th 651, 682 (9th Cir. 2022) (quoting Lujan, 504 U.S. at 561). Accordingly, "named plaintiffs who represent a class must allege and show that they personally have been injured, not that injury has been suffered by other, unidentified members of the class to which they belong and which they purport to represent." Lewis v. Casey, 518 U.S. 343, 357 (1996). Because "standing is the threshold issue in any suit," if the named plaintiff lacks standing on a claim, "the court need never reach the class action issue." Lierboe v. State Farm Mut. Auto. Ins. Co., 350 F.3d 1018, 1022 (9th Cir. 2003) (quoting 3 Herbert B. Newberg on Class Actions § 3:19, at 400 (4th ed. 2002)).

III. DISCUSSION

Defendant argues that Plaintiff's claims should be dismissed because he has not offered evidentiary proof of his Article III standing in his motion for class certification.

oz); American Tuna Pole Caught Wild Albacore – Sea Salt (3.5 oz); and American Tuna Pole Caught Wild Albacore – No Salt (66.5 oz). ECF No. 127-1 at 12.

ECF No. 109 at 16-17. Because standing is a jurisdictional requirement, the Court considers this argument first. *See Bd. of Nat. Res. of State of Wash. v. Brown*, 992 F.2d 937, 945 (9th Cir. 1993) ("The jurisdictional element of standing must be met in every case, and we must satisfy ourselves that this element exists even if no party to the action raises a doubt regarding its presence.").

Defendant argues that Glass has failed to show an injury in fact because he has not provided evidence that he saw the challenged statements or was injured by them. ECF No. 109 at 16-17. Plaintiff responds that Glass testified that he purchased cans with the "Caught and Canned in America" label, ECF No. 114 at 6, and argues that, in any case, "Plaintiff clearly was led to believe that the tuna was caught in America, as were the consumers who wrote reviews on Amazon." *Id.* at 7.

On a motion for class certification, a plaintiff "must show standing through evidentiary proof." Rivera v. Invitation Homes, Inc., No. 18-CV-03158-JSW, 2022 WL 504161, at *5 (N.D. Cal. Feb. 18, 2022). To satisfy the "case" or "controversy" requirement of Article III, a named plaintiff "must allege a present or immediate injury in fact which is fairly traceable to the challenged action and is likely to be redressed by a favorable court decision." Brown, 992 F.2d at 945. Accordingly, Glass must "show that [he] personally [has] been injured, not that injury has been suffered by other, unidentified members of the class to which [he] belong[s] and which [he] purport[s] to represent." Warth v. Seldin, 422 U.S. 490, 502 (1975). Under New York's General Business Law, a plaintiff has "suffered an injury-in-fact [when] she purchased products bearing allegedly misleading labels and sustained financial injury – paying a premium – as a result." Axon v. Florida's Nat. Growers, Inc., 813 F. App'x 701, 703–04 (2d Cir. 2020); see also Langan v. Johnson & Johnson Consumer Companies, Inc., 897 F.3d 88, 94 (2d Cir. 2018) ("[A] plaintiff who purchased the same product, on the same day, at the same place, from the same defendant, because of the same misleading offer as many other purchasers would plainly have standing to sue on behalf of those similarly situated purchasers."). Relatedly, to show injury for false

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advertising, a plaintiff must prove he "saw the specific advertisements that he asserts were misleading." *Chimienti v. Wendy's Int'l, LLC*, No. 22-CV-02880 (HG), 2023 WL 6385346, at *3 (E.D.N.Y. Sept. 30, 2023); *Lin v. Canada Goose US, Inc.*, 640 F. Supp. 3d 349, 360 (S.D.N.Y. 2022) (Without evidence that "Plaintiff actually saw the representations at issue, the [claim] fails.").

Plaintiff has failed to offer any evidence that Glass saw the advertisements he claims to be false or purchased one of the products whose label Plaintiff challenges under New York's GBL. Plaintiff's Motion for Class Certification offers only a vague description of the nature of Ray Glass's claim, and attaches a declaration of Glass in which he attests that:

Like all class members, I paid a premium for something that I did not get. Every time I bought American Tuna, I believed that I was paying a premium for the assurance that I was getting tuna that was caught in America. It turns out that the tuna is not always caught in America, and in fact a substantial amount of it is caught thousands of miles away from America. It is not fair that I paid a premium to American Tuna for something that I did not receive.

ECF No. 99-3 ¶ 6. Glass's declaration does not attempt to describe—even at a high level of generality—what statements were made on the cans of American Tuna that he bought. See ECF No. 99-3 at 1-2.

Plaintiff's Reply Brief makes similarly vague assertions as to Glass's claim, stating that "Plaintiff thought the tuna was caught in America and testified he paid a premium for this." ECF No. 114 at 4. For this proposition, Plaintiff's Reply Brief cites two lines from Glass's deposition:

- Q. What statements on American Tuna's labels do you believe was misleading or incorrect?
- A. I think it was "Caught in American waters."

ECF No. 114-4 at 29. Relying exclusively on this testimony, Plaintiff's Reply Brief asserts that "Plaintiff testified that he purchased the Caught and Canned in America labels." ECF No. 114 at 6.

But Plaintiff's deposition testimony does not establish what representations were made on the cans Glass actually purchased or any advertisements he saw. Instead, the testimony Plaintiff relies on only referred to Glass's general opinion of statements that might be misleading. Further, when asked directly about what misrepresentations appeared on the cans he purchased, Glass testified that he had not retained any of them and had no photographs or notes regarding what was on their labels. ECF No. 114-4 at 35. He stated that the only evidence he had of what was stated on the cans came from a label his attorney shared with him. *Id*.

Plaintiff bears the burden of proof and persuasion, *see Brown*, 992 F.2d at 945, but his declaration and deposition do not offer particularized evidence of injury and his briefs in support of his motion for certification fail to cite to any proof in the record to establish Glass purchased the labels at issue. Without evidence or testimony to as to what misleading statements appeared on the cans he purchased, Glass does not have standing to represent the interests of other potentially injured consumers. *See Lewis*, 518 U.S. at 357 ("[N]amed plaintiffs who represent a class must allege and show that they personally have been injured, not that injury has been suffered by other, unidentified members of the class to which they belong and which they purport to represent.").

Moreover, Defendant submits evidence in the form of a declaration from the CEO of American Tuna that even if Glass meant to testify that he bought a product with a label that said "Caught and Canned in America," Glass could not have purchased such a label because he only bought cans in 2022 and 2023 and labels stating tuna was "Caught and Canned in the USA" or "Caught and Canned in America" exclusively appeared on the "2016 Label" and "2017 Label," which were last available at retail stores in November

2018.⁵ See ECF 109-3 at 28. The only labels at issue that were available at retail stores in 2022 when Glass made his purchases were labels stating that products were "American Made," or that did not have any of Plaintiff's challenged phrases. See ECF No. 109-3 at 23, 28.⁶

At the class certification stage, it is Plaintiff's burden to show that he was, not that he might have been, victim to the alleged mischaracterization. *Evans v. Linden Rsch., Inc.*, No. C 11-01078 DMR, 2012 WL 5877579, at *6 (N.D. Cal. Nov. 20, 2012) ("On a motion for class certification, Plaintiffs must demonstrate, not merely allege, that they have suffered an injury-in-fact to establish Article III standing to bring the claims asserted on behalf of the Main Class."). Glass has not shown "specific, concrete facts demonstrating that the challenged [statements] harm[ed] him." *Warth*, 422 U.S. at 508.

Without evidence that Glass ever purchased cans with these labels, "[he] cannot represent others who may have such a claim, and [his] bid to serve as a class representative must fail." *Lierboe v. State Farm Mut. Auto. Ins. Co.*, 350 F.3d 1018, 1022 (9th Cir. 2003) (explaining "our law makes clear that "if none of the named plaintiffs purporting to

Defendant also offers evidence that by the time Glass purchased American Tuna in 2022, the American Tuna website's only guarantee as to catch-location was that its fish were sourced from the "North Pacific Ocean." ECF No. 109-3 at 39.

Plaintiff attempts to refute this, directing the Court to discovery responses in which Defendant admits it "cannot control and does not know the exact timing of when its cans of American Tuna Brand Product reach retail stores or how long cans stay on shelves." ECF No. 114 at 5. Defendant responds by citing to the declaration of American Tuna CEO Sarah Eames, *see* ECF No. 116 at 6, which explains that it is possible to accurately estimate, based on shipping records, when a version of the label stopped being sold at an outlet. *See* ECF No. 109-3 at 13-14.

The Court agrees that Plaintiff has taken Defendant's discovery response out of context and finds Defendant has submitted credible evidence that it may predict with reasonable, if not exact, accuracy how long cans may remain on the shelves. *Leite v. Crane Co.*, 749 F.3d 1117, 1121–22 (9th Cir. 2014) ("[I]f the existence of jurisdiction turns on disputed factual issues, the district court may resolve those factual disputes itself.").

represent a class establishes the requisite of a case or controversy with the defendants, none may seek relief on behalf of himself or any other member of the class" (quoting *O'Shea v. Littleton*, 414 U.S. 488, 494 (1974)).

Because Glass, the sole remaining named Plaintiff in this action, has not met his evidentiary burden to demonstrate standing, the Court denies Plaintiff's Motion for Class Certification, ECF No. 99. The Court directs Plaintiff to show cause why this action should not now be dismissed for lack of standing.

IV. CONCLUSION

For the above reasons, the Court **DENIES** Plaintiff's Motion for Class Certification (ECF No. 99). The court further **ORDERS** Plaintiff to show cause why the action should not be dismissed for lack of Article III standing. Plaintiff's brief is due within thirty (30) days of the date of this Order; Defendant may file a response within twenty-one (21) days of the filing of Plaintiff's brief; and Plaintiff may file a reply within seven (7) days of the filing of Defendant's brief.

IT IS SO ORDERED.

Dated: December 21, 2023

Hon. Robert S. Huie

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United States District Judge